

PTO/SB/08A (10-01)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/090.689
			Filing Date	03/05/02
			First Named Inventor	Gunter
			Art Unit	2131
			Examiner Name	Nathan D Host
			Attorney Docket Number	53087-5008
Sheet	1	of	4	

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U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code * (if known)			
NYH	A	US-6,216,116	04/10/01	Barkan et al.	
NYH	B	US-5,659,616	05/19/97	Judia	
NYH	C	US-5,903,882	05/11/99	Asay et al.	
NYH	D	US-5,943,423	08/24/99	Muttic	
NYH	E	US-5,978,484	11/02/99	Apperson et al.	
NYH	F	US-5,960,085	09/28/99	de la Huerga	
NYH	G	US-6,003,014	12/14/99	Lee et al.	
NYH	H	US-5,901,284	05/04/99	Hamdy - Swink	
NYH	I	US-5,872,848	02/16/99	Komney et al.	
NYH	J	US-5,784,403	07/21/98	Chen et al.	
NYH	K	US-5,761,309	06/02/98	Ohashi et al.	
NYH	L	US-5,757,920	05/12/98	Misra et al.	
NYH	M	US-5,754,654	05/19/98	Hirova et al.	
NYH	N	US-5,684,471	12/02/97	Chen et al.	
NYH	O	US-5,412,717	05/02/95	Fischer	
NYH	P	US-5,339,403	08/16/94	Parker	
NYH	Q	US-5,261,002	11/09/93	Perlman et al.	
NYH	R	US-5,315,651	05/24/94	Ahadi et al.	
NYH	S	US-5,214,702	05/25/93	Fischer	
NYH	T	US-4,868,877	09/19/89	Fischer	

[illegible]

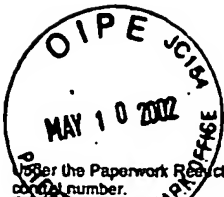
Examiner Signature	<i>Nathan D. Hall</i>	Date Considered	30 November 2003
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/090,689
		Filing Date	03/05/02
		First Named Inventor	Gunter
		Group Art Unit	2131
		Examiner Name	Nathan D Host
Sheet 2 of 4	Attorney Docket Number	53087-5008	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
AA	U	Blaze et al, "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp.1-32.	
AA	V	Gunter et al, "POLICY-DIRECTED CERTIFICATE RETRIEVAL", SOFTWARE-PRACTICE & EXPERIENCE September 21, 2000 VOL. 3, pp.1609-1640.	
AA	W	Gunter et al, "GENERALIZED CERTIFICATE REVOLUTION", ACM Symposium on Principles of Programming Languages, January 2000, pp.1-14.	
AA	X	Kakkar et al, "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp.1-19.	
AA	Y	Blaze et al, "DECENTRALIZED TRUST MANAGEMENT", PROC. IEEE Conference on Security and Privacy, May 1996, pp.1-23.	
AA	(Z)	Herzberg et al, "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp.1-13.	
AA	AA	Rivest, et al, "SDSI-A SIMPLE DISTRIBUTION SECURITY INFRASTRUCTURE", APRIL 30, 1996, pp.1-37.	
AA	BB	C. ELLISON, "SPKI REQUIREMENTS", THE INTERNET SOCIETY, September 1999, pp.1-14.	

Examiner Signature	Nathan D Host	Date Considered	11/30/2005
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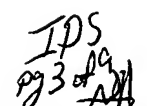
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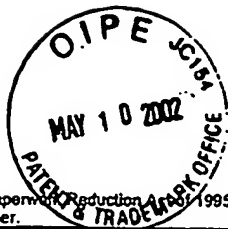
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/090,689
		Filing Date	03/05/02
		First Named Inventor	Guster
		Group Art Unit	2131
		Examiner Name	Nathan D. Host
Sheet 4 of 4	Attorney Docket Number	53087-5008	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JJ		Ellison et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.	
KK		MULLER, "BLUE TOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33	
LL		Mettala, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20	
MM		MAYWAH, "AN IMPLEMENT OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.	
NN		DAN BLACKMAN, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, MAY 15, 1998, pp. 170-171	
OO		ELLISON, http://world.std.com/~cme/ , July 21, 2001, pp. 1-3.	
PP		IEEE, www.ieee.org , 13 pages	
QQ		Infrared Data Association, www.irda.org , 93 pages	
RR		Microsoft .Net Passport Review Guide, "THE WHY WHAT AND HOW OF MICROSOFT .NET PASSWORD", Published: March 2002.	
SS		.NET PASSPORT OVERVIEW: 1/13/ http://www.microsoft.com/myseervices/passport/overview.asp , pp. 1-7; 1/21/ http://www.microsoft.com/myseervices/passport/overview.asp , p. 1	

Examiner Signature		Date Considered	11/30/2005
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

ATTY. DOCKET NO.
053087-5008

SERIAL NO.
10/090,689

APPLICANT
Gunter

FILING DATE
March 5, 2002

GROUP
2131

U.S. PATENT DOCUMENTS

EXAMINER R INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AD	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
AD	B	5	5	3	0	2	3	5	06/25/96	Siefik et al.			
AD	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
AD	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
AD	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
AD	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
AD	G	5	5	7	7	1	2	0	11/19/96	Penzias			
AD	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
AD	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
AD	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
AD	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
AD	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATIO N DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AD	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.

EXAMINER

Nathan D. Holt

DATE CONSIDERED

30 November 2005

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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pg 5 of 9
12/6

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pg 6 of 9

Substitute for form 1449/APTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/090,689
				Filing Date	March 5, 2002
				First Named Inventor	Gunter
				Group Art Unit	
				Examiner Name	NATHAN D HAST
Sheet	1	of	1	Attorney Docket Number	053087-5008

U.S. PATENT DOCUMENTS			
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document
	Number	Kind Code (if known)	
<i>[Handwritten initials]</i>	US-2003/0084296	A1	Kyojima et al.
<i>[Handwritten initials]</i>	5,299,263		Beller et al.
<i>[Handwritten initials]</i>	US-2001/0053247	A1	Sowinski et al.
<i>[Handwritten initials]</i>	US-2002/0016910	A1	Wright et al.
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FOREIGN PATENT DOCUMENTS						
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₁
	Country Code	Number	Kind Code (if known)			

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Examiner Signature	<i>Nathan D Host</i>	Date Considered	<i>11/30/2008</i>
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Substituted for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known Application Number 10/090,689 Filing Date March 5, 2002 First Named Inventor Gunter Group Art Unit 2136 Examiner Name Nathan D. Hall Attorney Docket Number 053087-5008		RECEIVED APR 22 2004 Technology Center 2100
Sheet	1	of	1			

U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
1	6,411,605	B1	Vance et al.	06/25/02
2	5,872,841		King et al.	02/16/99
3	6,577,949	B1	Robinson et al.	06/10/03
4	6,567,075	B1	Baker et al.	05/20/03
5	6,031,904		An et al.	02/29/00
6	5,689,642		Harkins et al.	11/18/97
7	6,560,581		Fox	05/2003
8	6,523,012		Glassman	02/2003
9	6,061,448		Smith	05/2000
10	6,651,166		Smith	11/2003
11	6,430,688		Kohl	08/2002
12	6,347,373		Hoepman	02/2002
13	6,343,361		Nendell	01/2002
14	6,256,733		Thakkar	07/2001
15	6,285,991		Powar	09/2001
16	2002/0162019	A1	Berry	10/2002

FOREIGN PATENT DOCUMENTS						
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T _i
	Country Code	Number	Kind Code (if known)			

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Examiner Signature	<i>Nathan J. Hest</i>	Date Considered	11/30/2005
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13/11

**LIST OF REFERENCES CITED BY APPLICANT**
(Use several sheets if necessary)

ATTY DOCKET NO.

053087-5008

APPLICATION NO

10/090,689

APPLICANT

Carl A. GUNTER

FILING DATE

March 5, 2002

GROUP

2136

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NA	A01	6,711,679	3/23/2004	Guski et al.	713	156	
NA	A02	6,624,827	9/23/2003	Hwang et al.	345	753	
NA	A03	6,601,171	7/29/2003	Carter et al.	713	175	
NA	A04	6,429,773	8/06/2002	Schuyler	340	425.5	
NA	A05	6,367,009	4/02/2002	Davis et al.	713	166	
NA	A06	6,343,313	1/29/2002	Salesky et al.	709	204	
NA	A07	6,301,263	10/9/2001	Maggenti	370	462	
NA	A08	6,144,997	11/7/2000	Lamming et al.	709	217	
NA	A09	5,999,208	12/7/1999	McNerney et al.	348	15	
NA	A10	5,949,414	9/7/1999	Namikata et al.	345	332	
NA	A11	5,933,498	8/03/1999	Schneck et al.	380	4	
NA	A12	5,805,846	9/8/1998	Nakajima et al.	395	330	
NA	A13	5,659,617	8/19/1997	Fischer	380	25	
NA	A14	5,495,533	2/27/1996	Linehan et al.	380	21	
NA	A15	2004/0049675	3/11/2004	Micali et al.	713	158	
NA	A16	2002/0129106	9/12/2002	Gutfreund	709	205	
NA	A17	2002/0004831	1/10/2002	Woodhill	709	229	
NA	A18	2001/0025300	9/27/2001	Miller et al.	709	206	
NA	A19						
NA	A20						
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NA	A22						
NA	A23						
NA	A24						
NA	A25						

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Nathan D. Host

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